

# INSTRUCTIONS FOR FORM 9440-O

## COMPLIANCE CERTIFICATION

### PURPOSE

The application for a federally enforceable District origin operating permit must contain a compliance certification signed by a responsible official. This form must be used for this compliance certification. It must be submitted with the original permit application as well as each annual report. The annual report will be due each year by April 15.

**Note:** Items which are self explanatory are not addressed.

**Source Information:** This information should be identical to the information stated in Form 9440-A.

- 1) **Source Name:** The company name, or specific plant name if different from the company name, must be provided.
- 2) **Source Street Address:** This must be the actual street address of the source. P.O. boxes are not acceptable.
- 5) **Permit No.:** This is the permit number assigned to the application for the permit for the source, by the District.
- 6) **Reporting Period:** If this form is being submitted as part of an annual report, the reporting period must be January 1 through December 31.

### IDENTIFICATION OF EMISSION UNITS

All emission units at this source must be provided, as well as the compliance status, the applicable regulation, and methods of compliance.

- 7a) All emission units that are in compliance at all times with all applicable requirements must be provided in this space. An example would be:

<b><u>Attachment No.</u></b>	<b><u>Equipment Description</u></b>	<b><u>Applicable Regulation</u></b>	<b><u>Compliance Method</u></b>
324-92	Boiler	7.06	Stack test of particulate emission performed. Stack test for CO emissions performed.

- 7b) All emission units that are not in continuous compliance with all applicable requirements since the last reporting period must be provided in this space along with the requirement(s) that the unit is out of compliance with and the reason(s) for noncompliance. An example would be:

<b><u>Attachment No.</u></b>	<b><u>Reason(s) for Noncompliance</u></b>
324-92	Nitrogen content of oil was not recorded during the past year as required by 40 CFR 60.49b(e). This was a mistake by the operator of the unit.

If all emission units are in continuous compliance with all applicable requirements since the last reporting period, then enter a statement to this effect: "All Emission Units at this plant are in compliance with all applicable requirements."

### COMPLIANCE INFORMATION

For each emission unit at the source, regardless of compliance status, the methods used to determine compliance must be provided.

## **COMPLIANCE CERTIFICATION INSTRUCTIONS** **(Continued)**

- 8a) An example of a testing method used to demonstrate compliance would be:  
Particulate Matter Stack Test Method U.S. EPA Method 5 – Incinerator Attachment # 325-92.
- 8b) An example of a monitoring procedure used to demonstrate compliance would be:  
Continuous Opacity Monitor - Attachment # 324-92, Boiler #4.
- 8c) An example of recordkeeping used to demonstrate compliance would be:  
Nitrogen content of oil – Attachment # 324-92, Boiler #4.
- 8d) An example of reporting used to demonstrate compliance would be:  
Annual report of all specified air contaminants, all emission units at source.

### **SIGNATURE BLOCK:**

- 9) This form must be signed by a responsible official of the source and dated. In general, a responsible official is as follows:

For a corporation:

- Corporate officer
- Other person in charge of a principal business function
- Duly authorized representative responsible for overall operation of a source (plant manager) if either:
  - 250 persons employed or \$25 million in sales or expenditures
  - Delegation of authority approved in advance

For a partnership: A general partner.

For a sole proprietorship: The proprietor

For a government agency:

- Principal executive officer
- Ranking elected official

# COMPLIANCE CERTIFICATION FORM

**COMPLIANCE CERTIFICATION**  
Louisville Metro Air Pollution Control District  
850 Barret Av  
Louisville, KY 40204

An application for a Federally Enforceable District Origin Operating Permit (FEDOOP) must contain a certificate of compliance signed by a responsible official. This form must be submitted with every FEDOOP permit application as well as annually.

## SOURCE INFORMATION

1) Source Name:

2) Source Street Address:

3) City:

4) Date Form Prepared:

5) FEDOOP Permit No.:

6) Plant ID:

7) Is this the first submittal of this form?

If no what is the reporting period? \_\_\_\_/\_\_\_\_/\_\_\_\_ to \_\_\_\_/\_\_\_\_/\_\_\_\_

## LIST OF EMISSION UNITS/ATTACHMENT NO.

7a) The following emission units/attachment no. are in compliance with applicable requirement such as emission standards, emission control requirements, emission testing, court requirements, work practices, or enhanced monitoring, based on the compliance methods specified below: (If additional space is needed attach and label as exhibit \_\_\_\_).

Attachment No.	Equipment Description	Applicable Regulations	Compliance Methods

7b) List the emission units/attachment no. that were not in compliance since the last reporting period, along with the reason(s) for noncompliance. (If additional space is needed attach and label as exhibit \_\_\_\_).

Attachment No.	Reason(s) for Noncompliance

**COMPLIANCE CERTIFICATION**  
**FORM 9440-O**  
(Continued)

<b>COMPLIANCE INFORMATION</b>	
8)	Summary of Methods Used to Determine Compliance:
a)	Description of <b>testing</b> methods used to demonstrate compliance:
b)	Description of <b>monitoring</b> procedures used to demonstrate compliance, including any enhanced monitoring requirements of the Act:
c)	Description of <b>recordkeeping</b> used to demonstrate compliance:
d)	Description of <b>reporting</b> used to demonstrate compliance:

<b>SIGNATURE BLOCK FOR RESPONSIBLE OFFICIAL</b>	
9)	Based on information and belief formed after reasonable inquiry, I certify that the statements and information in this document are true, accurate, and complete. BY:
	<div style="display: flex; justify-content: space-between;"><div style="width: 60%; text-align: center;"><hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/><div style="border-top: 1px solid black; font-size: small; margin-top: 5px;">Authorized Signature</div></div><div style="width: 35%; text-align: center;"><hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/><div style="border-top: 1px solid black; font-size: small; margin-top: 5px;">Date</div></div></div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 60%; text-align: center;"><hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/><div style="border-top: 1px solid black; font-size: small; margin-top: 5px;">Typed or Printed Name of Signatory</div></div><div style="width: 35%; text-align: center;"><hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/><div style="border-top: 1px solid black; font-size: small; margin-top: 5px;">Title of Signatory</div></div></div>